FORM PTO-1390) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 10-2003) 014442-000022 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE May 31, 2002 PCT/EP03/05753 02 June 2003 TITLE OF INVENTION METHOD FOR THE PROTECTION OF ENDOTHELIAL AND EPITHCLIAL CELLS DURING CHEMOTHERAPY APPLICANT(S) FOR DO/EO/US Guenther Eissner; Ernst Holler Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. 🔯 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. \square is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. \square is not required, as the application was filed in the United States Receiving Office(RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. 🔲 a.
is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. 🖂 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. ?∤□ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 🗀 🔓 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. \square An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 🔲 Other items or information: Check in the Amount of \$636.00, Copy of WO 03/101468 A1, Express Mail

Certificate and Acknowledgment Postcard.

| u.s. application no. (if) | known, see 37 CFR 1.5) | INTERNATIONAL APPLICATION NO. PCT/EP03/05753 | | | ATTORNEY'S DOCKET NUMBER 014442-000022 | | | |
|--|------------------------|--|-----------|----------|--|----------------|----------|--|
| 21. The following fees are submitted: | | | | | CULATIONS | PTO | USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | | | | | SCOBATIONS | | OOD ONE! | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00 | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | | | | | -1 | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | 50.00 | [| | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | İ | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | s | | | | |
| Total Claims | 33 - 20 = | 13 | x \$18.00 | \$ 23 | 4.00 | | - | |
| Independent Claims | 4 - 3 = | 1 | x \$88.00 | \$ 88 | 3.00 | | | |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$290.00 | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | 72 .00 | | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | 6.00 | | | |
| SUBTOTAL = | | | | | 6.00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | İ | | |
| TOTAL NATIONAL FEE = | | | | | 6.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | | |
| TOTAL FEES ENCLOSED = | | | | | 6.00 | | | |
| | | | | | Amount to refunde | | \$ | |
| | | | | | charge | d: | \$ | |
| a. A check in the amount of \$636.00 to cover the above fees is enclosed. | | | | | | | | |
| b. Please charge my Deposit Account No. 1234567 in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. <u>13-4365</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | <u>y</u> | | · | | |
| Michael G. Johnston SIGNATUR Moore & Van Allen PLLC Michael G. | | | | | ton | • | | |
| 430 Davis Drive, Suite 500 Morrisville, NC 27560-6832 | | | | | $\overline{}$ | | | |
| USA 38,194 | | | | | | | | |
| REGISTRA | | | | | TION NO. | | | |
| FORM PTO-1390 (REV 10-2003) page | 2 of 2 | | Tagolo Ha | | | | | |

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL LABEL NUMBER: EV 584684950 US

Date of Deposit: November 30, 2004

First Named Inventor: Guenther Eissner

For: METHOD FOR THE PROTECTION OF ENDOTHELIAL AND EPITHCLIAL

CELLS DURING CHEMOTHERAPY

I hereby certify that the following documents:

- 1. Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 2. Preliminary Amendment;
- 3. Copy of WO 03/101468 A1;
- 4. Check in the Amount of \$636.00;
- 5. Express Mail Certificate; and
- 6. Acknowledgment Postcard.

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to:

Mail Stop: PCT DO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Name of Person Mailing Documents)

(Signature of Person Mailing Documents)